

Analog-to Digital Conversion Revisited in the Frequency Domain

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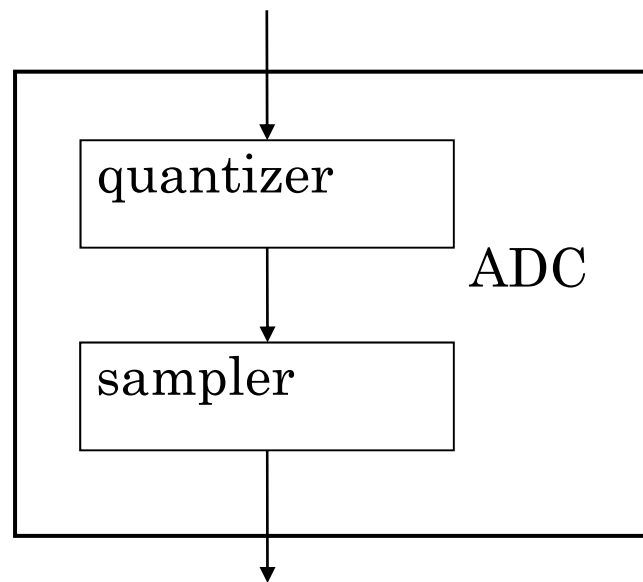
National Astronomical Observatory
of Japan

Can it be possible to make
Analog-to-Digital Conversion
noiseless?

Yes or no ?

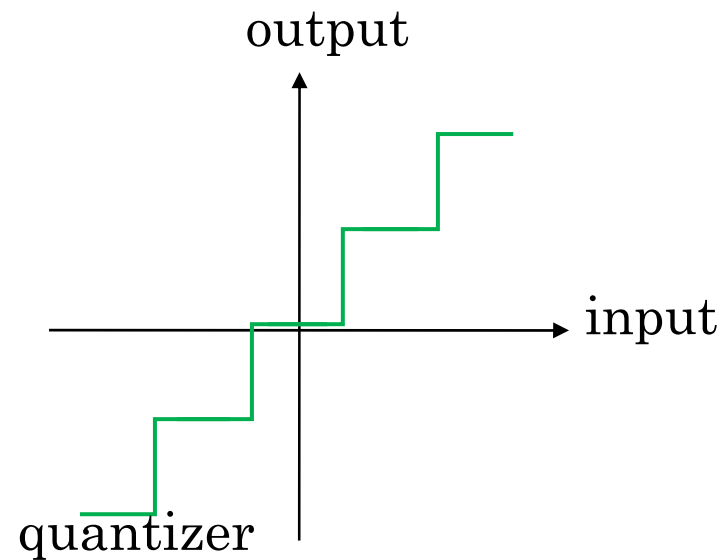
Analog-to-Digital conversion (ADC) is comprised of two steps.

- One is sampling
- And the other is quantization.



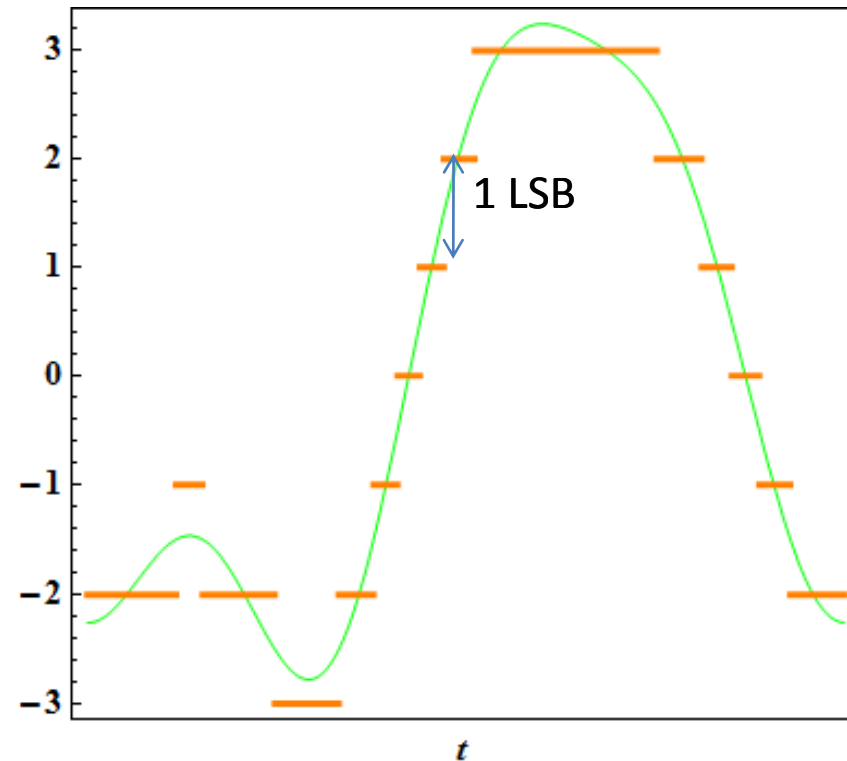
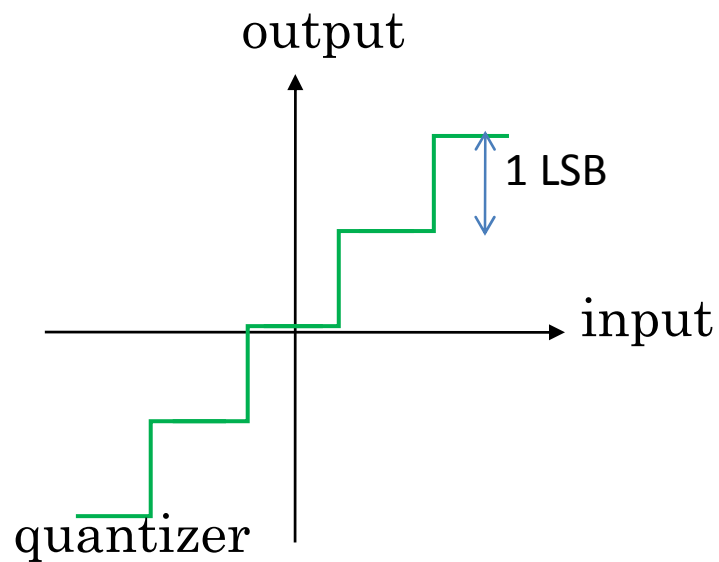
A quantizer is a non-linear circuit

- It has stair case input-output characteristics.



Let us view quantization in the time-domain

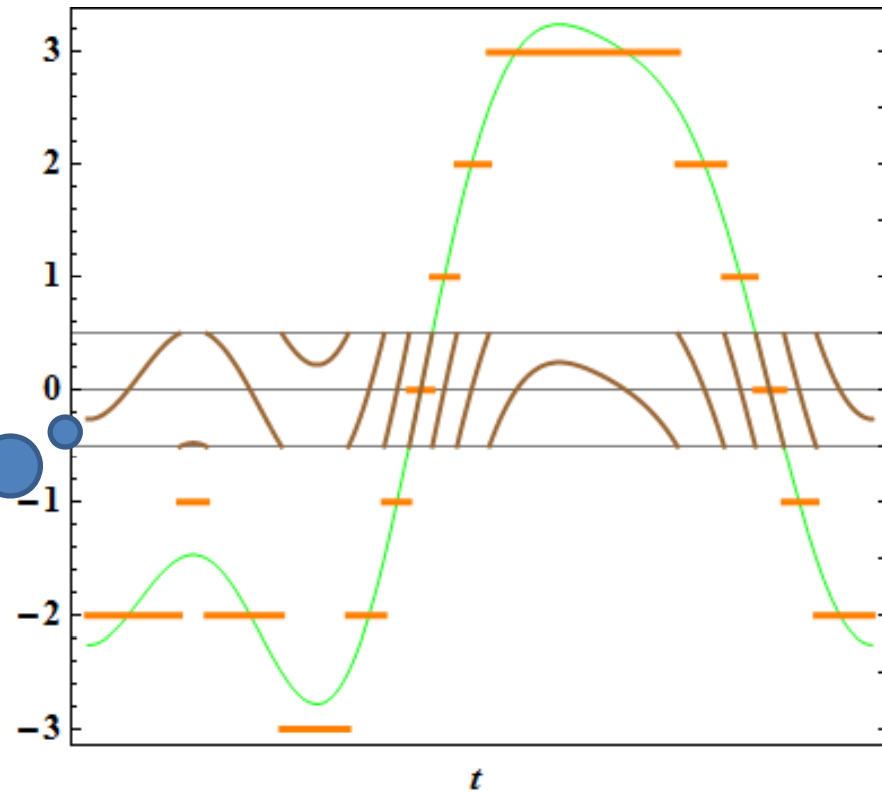
- Output is split into discrete levels separated by 1 LSB.
 - LSB = least significant bit



—	input	$x(t)$
—	quantized output	$y(t)$

Quantization causes error

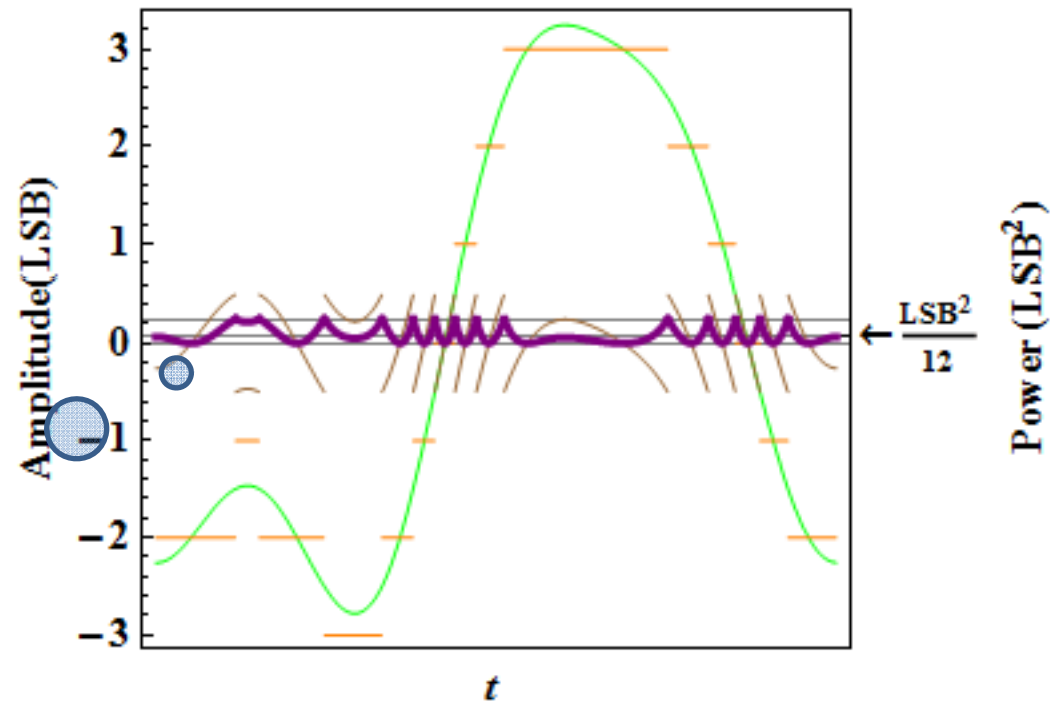
Quantization causes error.



—	input	$x(t)$
—	quantized output	$y(t)$
—	quantization error	$\epsilon(t) = x(t) - y(t)$

Error cause quantization noise

- Noise power = variance of error ϵ



Quantization
causes error ϵ .

—	input	$x(t)$
—	quantized output	$y(t)$
—	quantization error	$\epsilon(t) = x(t) - y(t)$
—	quan. error power	$\epsilon(t)^2$

Quantization noise power = $1/12 \text{ LSB}^2$

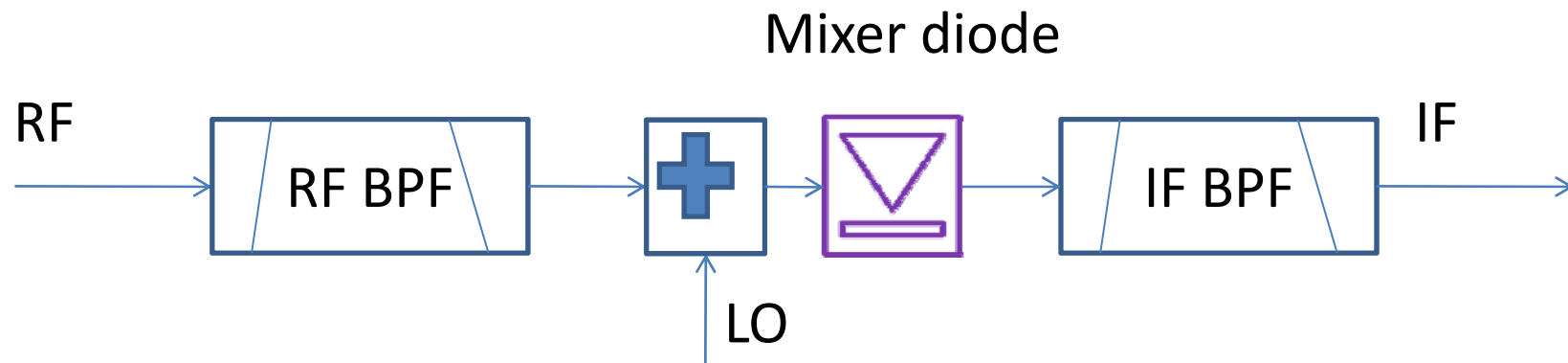
- If
 - The quantization step width is small enough compared to the input amplitude
 - And the quantizer has large enough number of steps to cover the input amplitude

Anyway,
Quantization noise power $\neq 0$

- Can it be possible to make **Analog-to-Digital Conversion noiseless?**

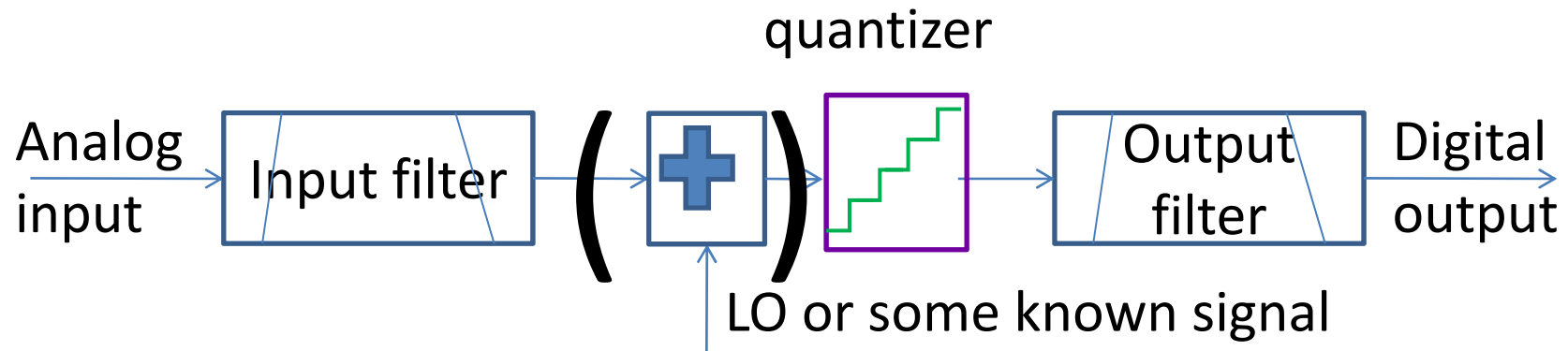
- To answer that, consider other examples of non-linear circuits.

Typical Frequency Converter with a Mixer Diode



- A mixer diode is surely non-linear.
- It introduces harmonics and inter-modulations.
- But they are blocked by the IF Band-Pass Filter!
- And we get distortion-less/noise-less IF.

Noise Reduction in Analog-to Digital Converter



- A quantizer is surely non-linear.
- It introduces harmonics and inter-modulations.
- But they will be blocked by the Output Filter!
- And we get distortion-less/noise-less Digital output with increased word-length.

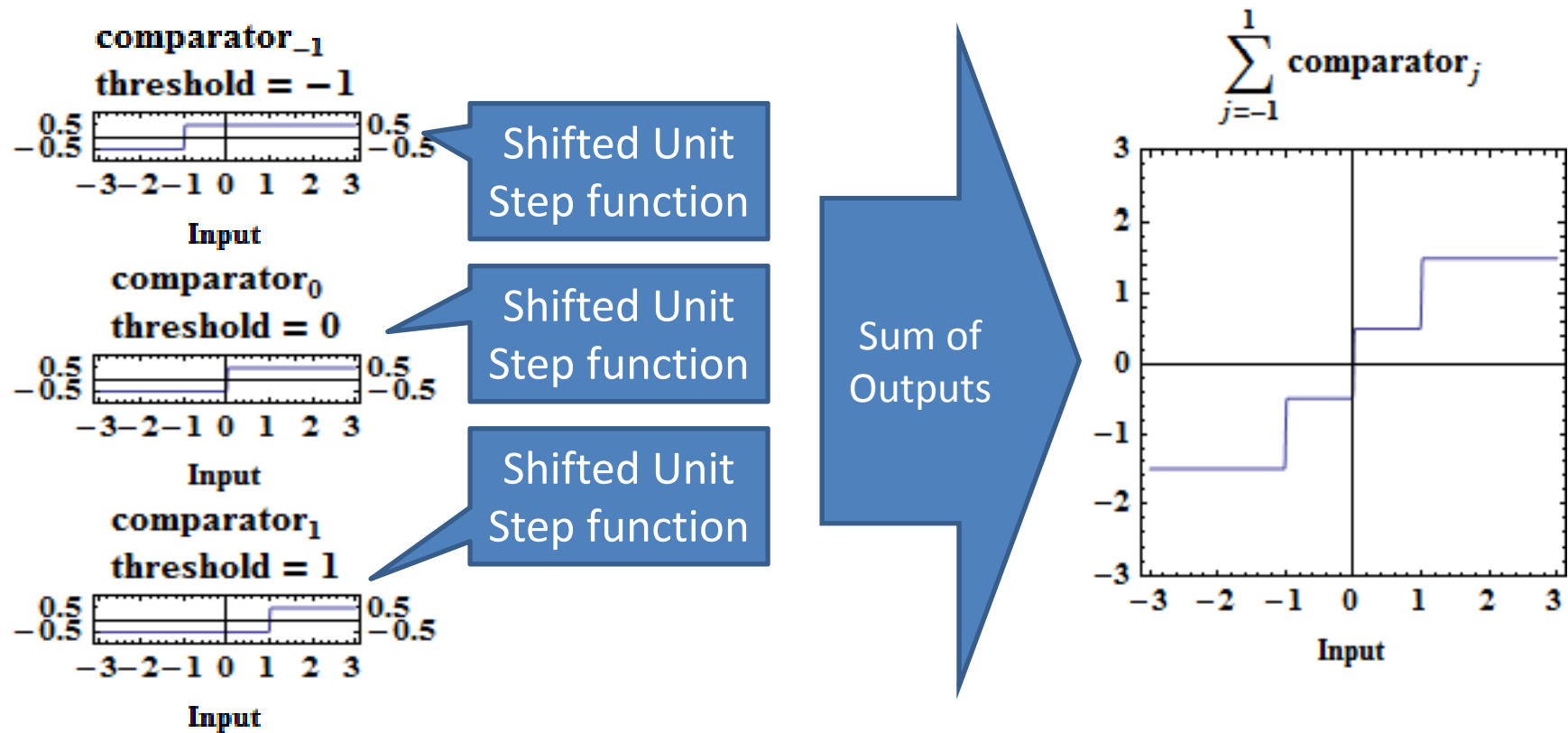
Is there any predecessor eliminating distortion by a non-linear effect?

- Yes, there is. **It was patented 70 years ago.**
- **“AC biasing** in the magnetic audio recorder”
- By adding CW (‘LO’) of several tens of kHz, the patent reduced the distortion which was caused by non-linearity of magnetic material.
- SONY utilized it to energize its voyage to a distinguished company.

To Reduce Noise in Analog-to Digital Converter

- Think in the frequency domain.
- To do so...
- Analytical treatment to distinguish harmonics and inter-modulations is needed.

Break down an N-level quantizer into a sum of N-1 2-level quantizers.



- Then we only need to analyze a 2-level quantizer.

i.e.,

- Output of an n-level quantizer is as follows.

$$\text{output} = \sum_{j=1}^n \Delta_j \left(\theta \left(\frac{\text{input}}{2 \sqrt{\langle |\text{input}|^2 \rangle}} - \Theta_j \right) - \frac{1}{2} \right), \text{ where } \theta(x) \text{ is the Unit Step function}$$

$$\Theta = \frac{\text{threshold}}{2 \sqrt{\langle |\text{input}|^2 \rangle}}$$

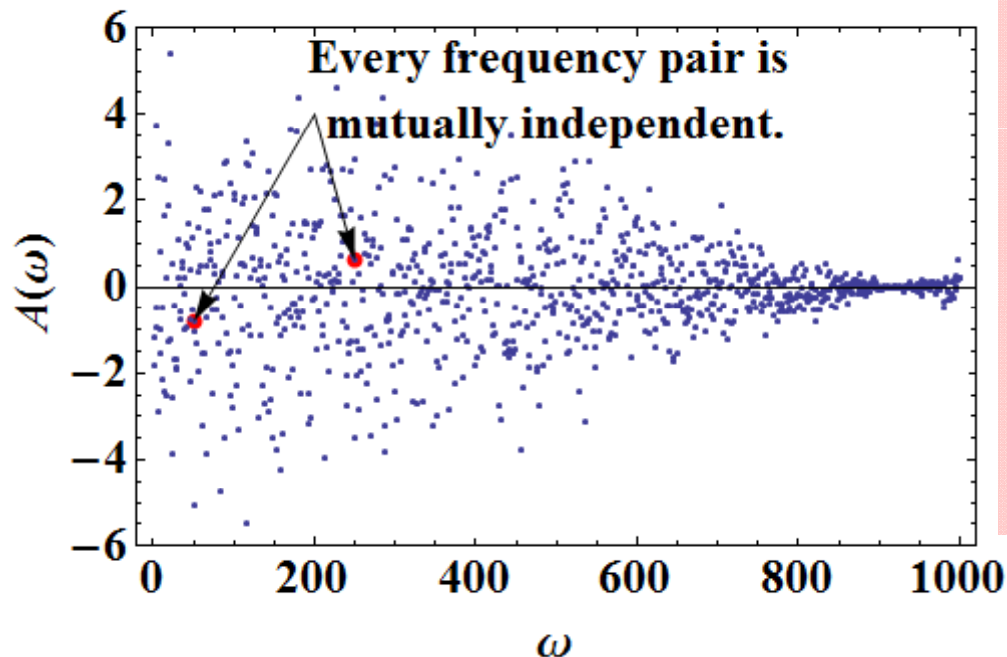
Assume that the signals are 'pink noise'.

Definition: Call $a(t)$ a 'pink noise', if $A(\omega)$ meets following condition when

$A(\omega)$ is the Fourier transform of $a(t)$ and $\delta(i) = \begin{cases} 1 & i = 0 \\ 0 & \text{otherwise} \end{cases} :$

$$\forall_{\{\omega, \Omega, i, j\}, i \neq 0 \vee j \neq 0} \langle A(\omega)^i (A(\Omega)^*)^j \rangle = \delta(i - j) \delta(\omega - \Omega) \langle (|A(\omega)|^2)^i \rangle$$

**Schematics Drawing for
'Pink Noise' Spectrum**



This 'pink noise' assumption is very natural to macroscopic objects where many independent oscillators are in the sight.

Consider a time series $a(t)$
of a 'pink noise' $A(\omega)$,
 $a(t)$ obeys the Normal Distribution.

- \therefore $a(t)$ is Fourier Transform of $A(\omega)$, i.e., at each t it is a sum of $phase * A(\omega)$.
- Remember that PDF(a+b) is convolution of PDF(a) and PDF(b).
- The Central Limit Theorem confirms the conclusion.

- After lengthy calculations:
 - Breaking down an N-level quantizer into a sum of N-1 2-level quantizers,
 - Considering a Unit Step function as a limit of Error function when steepness $\rightarrow \infty$,
 - Using Taylor expansion of Error function,
 - Assuming ‘pink noise’ inputs,
 - ...

The **correlation function** of the output or the **quantization correction** is:

$r(\rho, \phi, \Theta_i, \Theta_j, \Delta_i, \Delta_j, \omega, \tau) =$

$$\sum_{K=-\infty}^{\infty} \exp(i K (\omega \tau + \phi)) \sum_{\kappa=|\frac{K}{2}|}^{\infty} \sum_{i=1}^{n_A} \sum_{j=1}^{n_B} \frac{|\rho(\tau)|^{2\kappa} \Delta_i \text{coef}(\kappa, \Theta_i) \Delta_j \text{coef}(\kappa, \Theta_j)}{\pi \left(-\frac{K}{2} + \kappa\right)! \left(\frac{K}{2} + \kappa\right)!}$$

r : **output correlation function** between ADC-A and ADC-B

ρ : **input correlation coefficient** between ADC-A and ADC-B

ϕ : **input correlation phase** between ADC-A and ADC-B

n_A, n_B : **number of thresholds** for ADC-A and ADC-B

Δ_i : **output step height** for i-th level

Θ_i : **normalized input threshold** for i-th level

$$\text{output} = \sum_{j=1}^n \Delta_j \left(\theta \left(\frac{\text{input}}{2 \sqrt{\langle |\text{input}|^2 \rangle}} - \Theta_j \right) - \frac{1}{2} \right), \text{ where } \theta(x) \text{ is the Unit Step function}$$

κ : **order of inter-modulations**

K : **order of harmonics**

ω : **'center' frequency** of the input

τ : **lag argument** of the correlation

where

$$\text{coef}(\kappa, \Theta) = \begin{cases} -\frac{\Theta (-1)^\kappa \Gamma(\kappa + \frac{1}{2}) {}_1F_1(\kappa + \frac{1}{2}; \frac{3}{2}; -\Theta^2)}{\sqrt{\pi}} & \kappa \bmod 1 = 0 \\ \frac{(-1)^{\kappa - \frac{1}{2}} \Gamma(\kappa) {}_1F_1(\kappa; \frac{1}{2}; -\Theta^2)}{2\sqrt{\pi}} & \kappa \bmod 1 = \frac{1}{2} \end{cases}$$

${}_1F_1(a; b; z)$: Kummer confluent hypergeometric function of the first kind

κ : order of inter-modulations

Θ : normalized input threshold : $\Theta = \frac{\text{threshold}}{2\sqrt{\langle |\text{input}|^2 \rangle}}$

Important thing is:

$$r(\rho, \phi, \Theta_i, \Theta_j, \Delta_i, \Delta_j, \omega, \tau) =$$

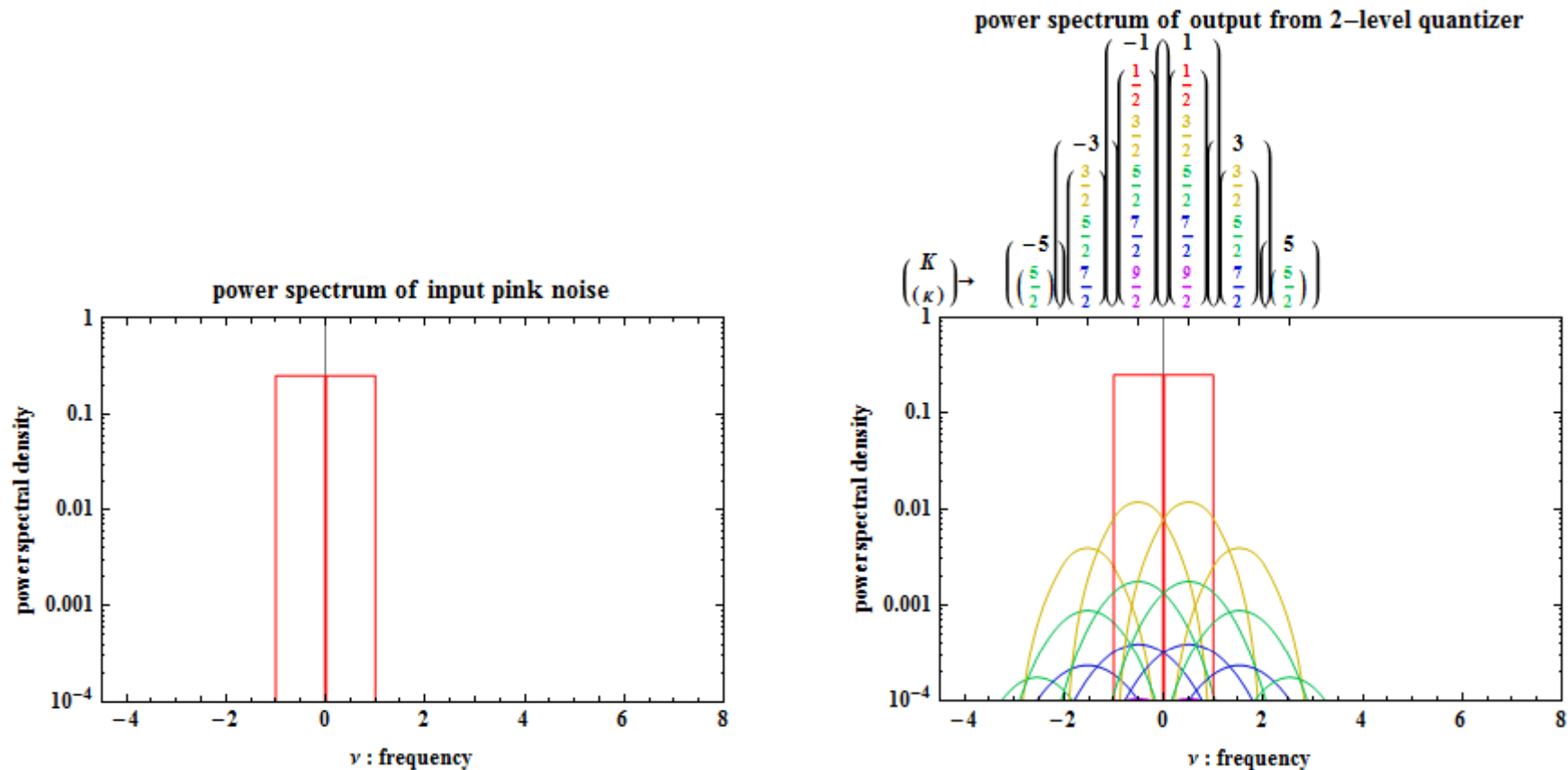
$$\sum_{K=-\infty}^{\infty} \exp(i K (\omega \tau + \phi)) \sum_{\kappa=|\frac{K}{2}|}^{\infty} \sum_{i=1}^{n_A} \sum_{j=1}^{n_B} \frac{|\rho(\tau)|^{2\kappa} \Delta_i \text{coef}(\kappa, \Theta_i) \Delta_j \text{coef}(\kappa, \Theta_j)}{\pi \left(-\frac{K}{2} + \kappa\right)! \left(\frac{K}{2} + \kappa\right)!}$$

r : **output correlation function** between ADC-A and ADC-B

- We have succeeded to **analytically** derive the output correlation function for any ADC **as a sum over**:
 - K: harmonics order
 - κ : inter-modulation order

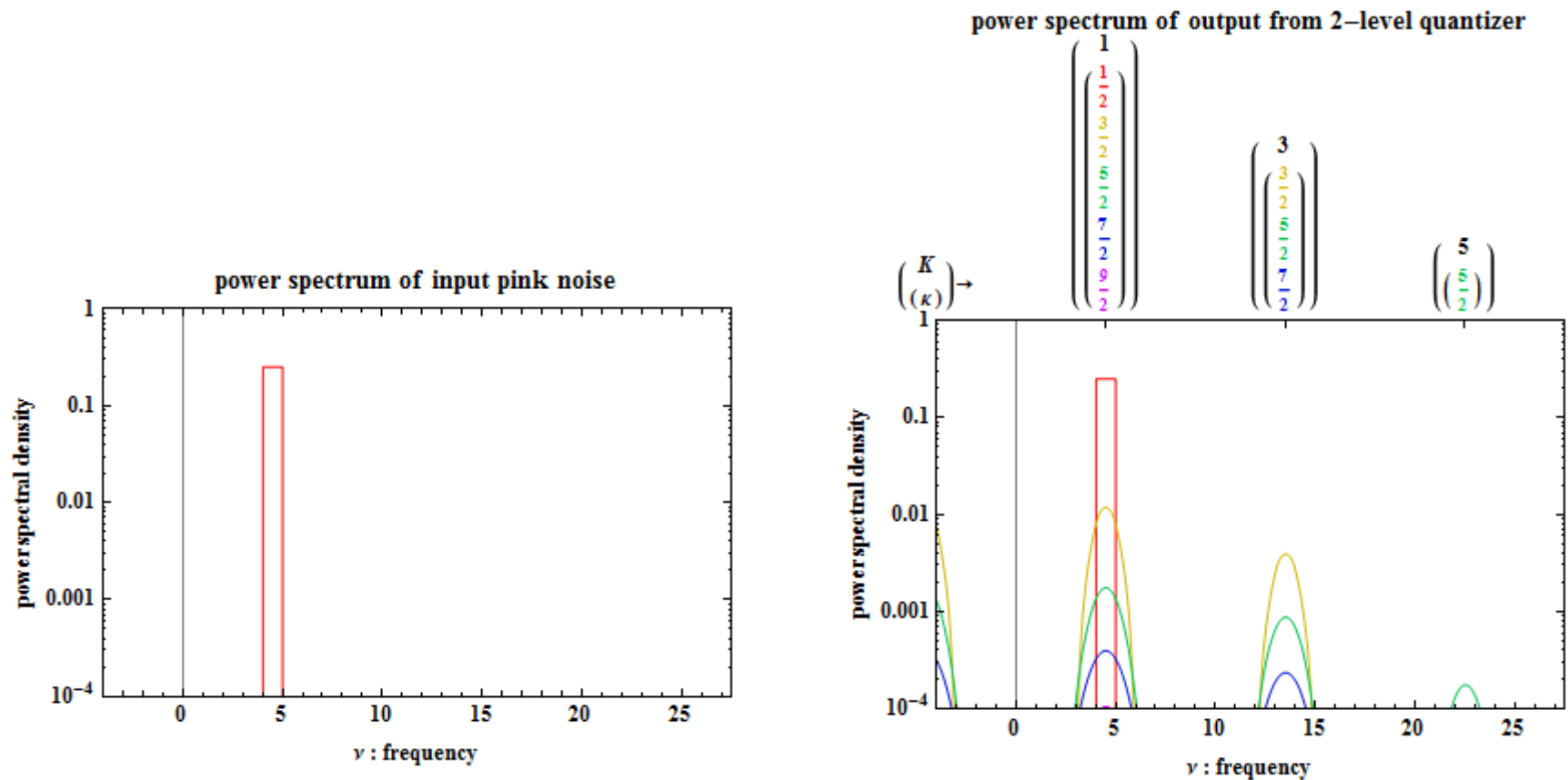
Example of 2-level quantizer spectrum

- **Baseband** input causes many harmonics and inter-modulations in the signal band.



Example of 2-level quantizer spectrum

- **Non-baseband input reduces** harmonics and inter-modulations in the signal band.



Frequency domain approach has succeeded in deeper understandings

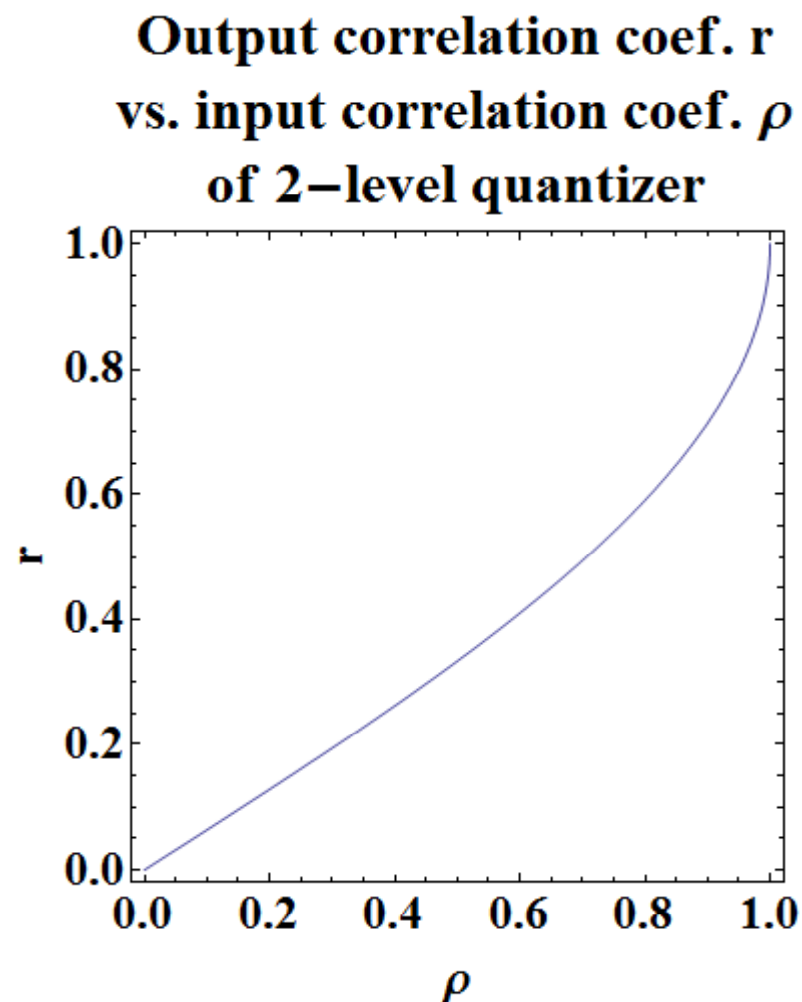
- The correction is conventionally understood via time domain as integral of joint probabilities between the digitized signals.
- We have analytically showed this correction in general form via frequency domain approach.

An Introduction: Small-bit ADC in radio astronomy

- In **radio astronomy**,
- to have receiving bandwidth as wide as possible,
- ADCs with very small number of quantization levels (usually 2-8 levels, i.e., 1-3 bits) have been used.
- Therefore it is very important to develop means to minimize **noises** and/or **spectrum deformation** caused by an ADC.

The Van Vleck correction or the quantization correction

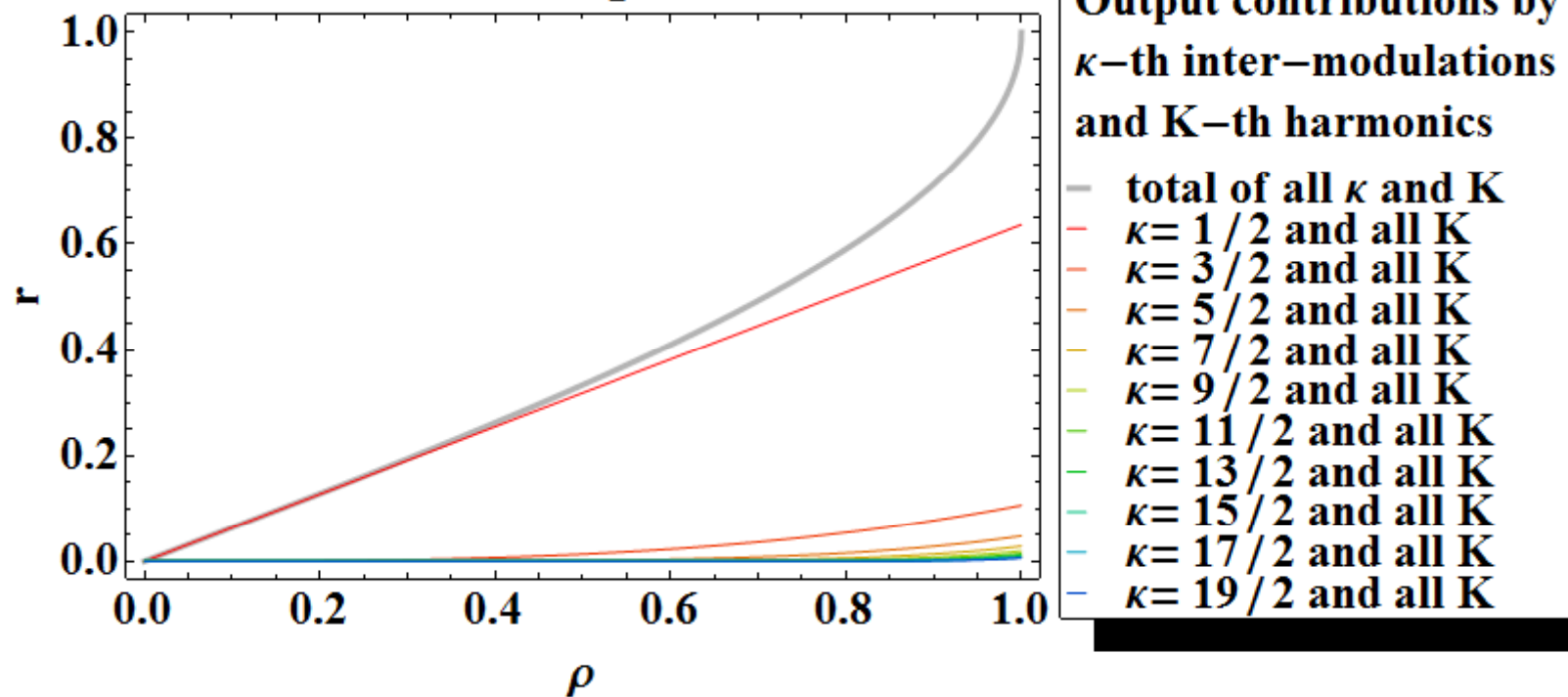
- Correct
 - the observed digitized correlation coefficient
 - to the analog one.
- For an example, in a two level quantizer case, the former is proportional to arcsine of the latter.
 - A Nyquist-sampled baseband case



Sudden rise in the correction curve when the correlation coefficient approaches to unity is:

- Caused by the higher κ and K order terms.

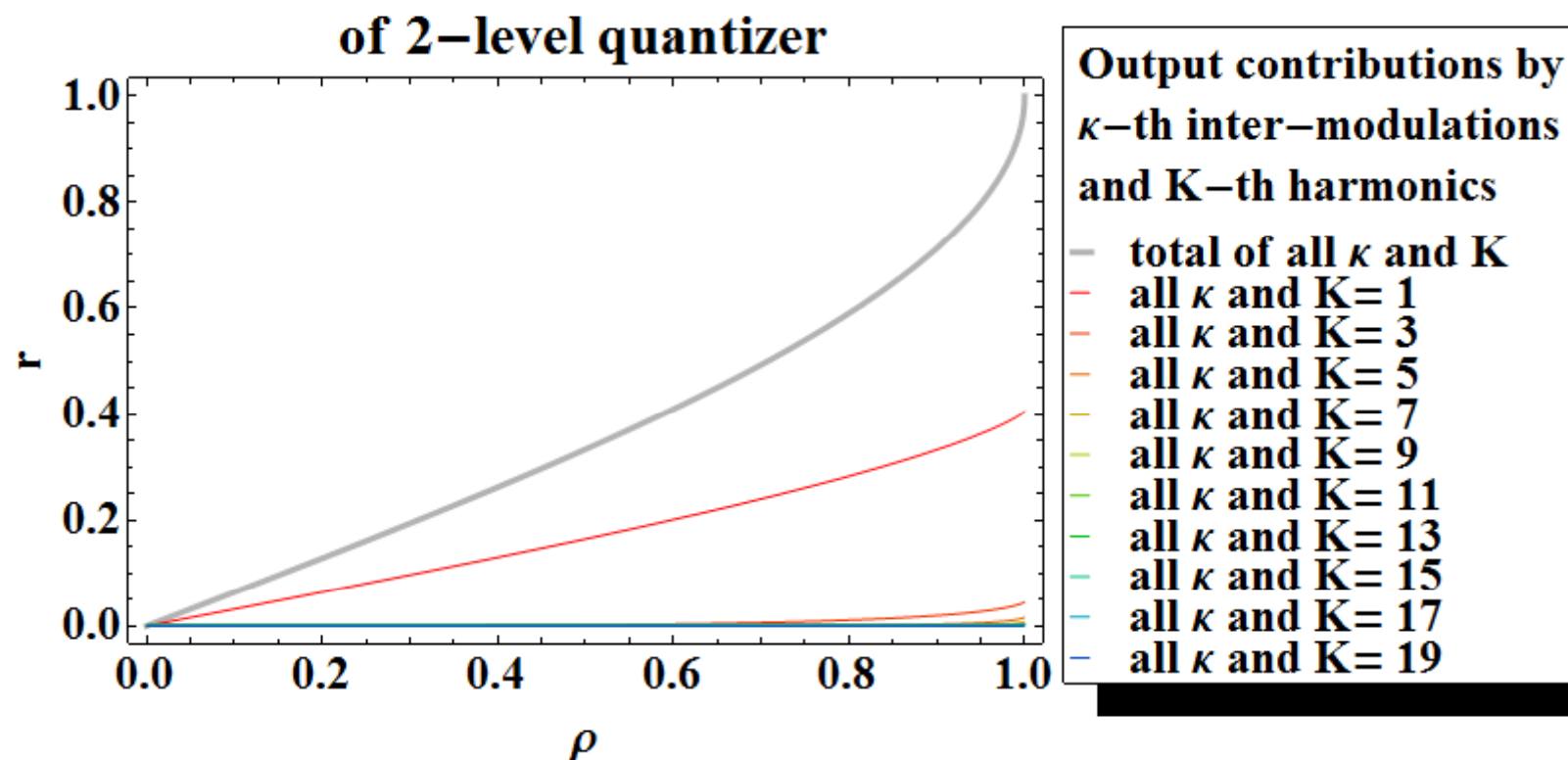
Output correlation coef. r vs. input correlation coef. ρ
of 2-level quantizer



Sudden rise in the correction curve when the correlation coefficient approaches to unity is:

- Caused by the higher κ and K order terms.

Output correlation coef. r vs. input correlation coef. ρ



Summary

- Via **frequency domain**:
- A way to realize '**noiseless/reduced-noise**' ADC was demonstrated.
- **Analytical expression** of ADC output correlation function was given.
- The expression was given as a **sum over harmonics order and inter-modulation order**.
- The expression is very **convenient for filtering**.